

APR 1 8 2001

TECH CENTER 1600/290PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Lam, Andrew C.; Shivanand, Padmaja; Ayer, Atul D.; Weyers, Richard D.; Gupta,

Suneel K.; Guinta, Diane R.; Christopher, Carol A.; Saks, Samuel R.; Hamel,

Lawrence G.; and Wright, Jeri D.

Application No.: 09/802,709

Group No.: Unassigned

Filed: March 8, 2001

Examiner: Unassigned

METHODS AND DEVICES FOR PROVIDING PROLONGED DRUG THERAPY For:

Assistant Commissioner for Patents Washington, D.C. 20231

STATEMENT FOR INFORMATION DISCLOSURE **UNDER 37 C.F.R. Section 1.97(e)**

IDENTIFICATION OF INFORMATION DISCLOSURE STATEMENT FOR WHICH THIS STATEMENT IS BEING MADE

| 1. | This | statement | is | being | made | for | the | Information | Disclosure | Statement | accompanying | this |
|----|------------|-----------|----|-------|------|-----|-----|-------------|------------|-----------|--------------|------|
| | statement. | | | | | | | | | | | |

CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. Section 1.8(a))

I hereby certify that, on the date shown below, this correspondence is being:

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Date: April 9, 2001

20231.

[X]

[]

Katrina M. Ghafghaichi

(type or print name of person certifying)

(Statement for Information Disclosure under 37 C.F.R. Section 1.97(e)--page 1 of 3)

STATEMENT

2. I, the person signing below, state that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application and to the knowledge of the person signing the statement after making reasonable inquiry, was known to any individual designated in Section 1.56(c) more than three months prior to the filing of the information disclosure statement. 37 C.F.R. Section 1.97(e)(2).

IDENTIFICATION OF PERSON MAKING THIS STATEMENT

- 3. The person making this certification is:
 - (a) the practitioner who signs below on the basis of the information in the practitioner's file.

Paul B. Simboli

ALZA Corporation

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Mountain View, CA 94039-7210

United States of America

Practitioner's Docket No. ARC 2865N1

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Lam, Andrew C.; Shivanand, Padmaja; Ayer, Atul D.; Weyers, Richard D.; Gupta, Suneel K.; Guinta, Diane R.; Christopher, Carol A.; Saks, Samuel R.;

Hamel, Lawrence G.; and Wright, Jeri D.

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For: METHODS AND DEVICES FOR PROVIDING PROLONGED DRUG THERAPY

Assistant Commissioner for Patents Washington, D.C. 20231

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT BEFORE MAILING DATE OF EITHER A FINAL ACTION OR NOTICE OF ALLOWANCE (37 C.F.R. section 1.97(c))

TIME OF TRANSMITTAL OF ACCOMPANYING INFORMATION DISCLOSURE STATEMENT

1. The information disclosure statement transmitted herewith is being filed *after* three months of the filing date of this national application or the date of entry of the national stage as set forth in Section 1.491 in an international application or after the mailing date of the first Office action on the merits,

CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. section 1.8(a))

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Date: April 9, 2001

transmitted by facsimile to the Patent and Trademark Office.

FACSIMILE

Signature

Katrina M. Ghafghaichi

(type or print name of person certifying)

whichever event occurred last but before the mailing date of either

- (1) a final action under section 1.113 or
- (2) a notice of allowance under section 1.311

whichever occurs first.

FEE

2. Accompanying this transmittal is the fee for submission of an information disclosure statement under section 1.97(c). (\$240.00)

FEE PAYMENT

3. Applicant elects the option to pay the fee set forth in 37 C.F.R. section 1.17(p) for submission of an information disclosure statement under section 1.97(c) (\$240.00).

Fee due \$ 240.00

METHOD OF PAYMENT OF FEE

4. Charge Account No. 01-1173 in the amount of \$ 240.00.

A duplicate of this request is attached.

If any additional fees are due, please charge Account 01-1173.

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